



Receipt #
3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Hiroyuki UWAZUMI et al.
Serial No. : 10/037,475
File Date : January 3, 2002
Title : MAGNETIC RECORDING MEDIUM, METHOD FOR
MANUFACTURING A MAGNETIC RECORDING MEDIUM AND
MAGNETIC RECORDING DEVICE
Art Unit : 1773 Examiner:
Docket No. : M1971-109

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : March 4, 2002
By : Inga Hildreth
Signature : Inga Hildreth

Hon. Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:


In response to the Notice to File Missing Parts of Application dated January 31, 2002, enclosed herewith please find the following:

- * Declaration and Power of Attorney;
- * Copy of Filing Receipt indicating corrections needed;
- * Copy of Notice to File Missing Parts of Nonprovisional Application;
- * Check for \$954 to cover the large entity filing fee (\$740) plus 1 independent claim(s) (\$84), and late filed Declaration surcharge (\$130).

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A *Corrected* Filing Receipt is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Andrew Young", written in a cursive style.

Andrew F. Young, Esq.

Reg. No. 44,001

Attorney for Applicant

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/037,475	01/03/2002	1773	0.00	M1971-109	1	18	4

Andrew F. Young
MORRISON LAW FIRM
145 North Fifth Avenue
Mt. Vernon, NY 10550



FEB 4 2002

CONFIRMATION NO. 5199

FILING RECEIPT



OC00000007402511

Date Mailed: 01/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ** Missing 1st Inventor - Please Add*

Tadaaki Oikawa, Residence Not Provided;
Takahiro Shimizu, Residence Not Provided;
Naoki Takizawa, Residence Not Provided;

Hiroyuki UWAZUMI

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2001-000748 01/05/2000

S/B 2001

If Required, Foreign Filing License Granted 01/31/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Magnetic recording medium, method for manufacturing a magnetic recording medium and magnetic recording device

Preliminary Class



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,475	01/03/2002	Tadaaki Oikawa	M1971-109

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CONFIRMATION NO. 5199

FORMALITIES LETTER



OC00000007402512

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 ■ \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

03/19/2002 HNDHAM1 00000060 10037475

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE